

# Techno-economic assessment of carboxylic acids, furfural, and pellet production in a pine sawdust biorefinery

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**Abstract:** Pine sawdust is an important lignocellulosic waste from the primary industrialization of wood, and its valorization using the biorefinery concept could add new value chains to the forest industry. Compared with large-scale biorefineries, small-scale ones involve lower capital and logistics costs, lower risk, and can increase the use of labor in rural areas. A scheme was proposed for the use of the hemicelluloses obtained from the spent liquor of a steam explosion pretreatment of pine sawdust. With the proposed scheme, levulinic acid (LA), formic acid (FA), acetic acid and furfural are obtained from the liquid fraction while pellets are produced from the solid fraction. This pine sawdust biorefinery allows about 747 kg of pellets, 57 kg of LA, 23 kg of FA, 18 kg of acetic acid, and 22 kg of furfural per 1000 kg of dry sawdust to be obtained. The energy used for LA production is one of the main production costs. When 95% of the residual solid is used to generate steam, there is an improvement in the internal rate of return (IRR). The heat integration allows a reduction of 10% in the steam consumption for LA, increasing the capacity for pellet production. The results obtained are therefore essential when seeking alternatives for pine sawdust biorefineries, focusing on the improvement of the production processes, satisfaction of energy requirements, and the reduction of the recovery costs. Three alternatives for the valorization of pine sawdust were analyzed and the best of them obtained an IRR of about 17%. © 2018 Society of Chemical Industry and John Wiley & Sons, Ltd

**Keywords:** biorefinery; pine sawdust; steam explosion; levulinic acid; pellets; techno-economic assessment

## Introduction

In recent decades, the forest industry and the forest products trade have endured major changes as a consequence of globalization, climate change, high energy prices, and the financial crisis. Finland, Norway, Sweden, Canada, the USA, and some countries in South America have a large forested area available to be harvested and used in industrial processes.<sup>1</sup> The global forest industry is relying increasingly on forests located in South America, Africa, and Asia. International enterprises purchase forest lands or land for plantations in Asian and Latin American countries to supply raw materials for pulp mills and forest products.<sup>2</sup> Latin American countries are emerging producers in the global markets of forest products. In these countries, government policies and incentives for plantation have attracted foreign investments to the wood and pulp and paper industries.<sup>3</sup> Fast-growing species are planted to supply the world's industrial wood. In the southern hemisphere, the production cycle of the fast-growing species is 5–12 years ( $30\text{--}50\text{ m}^3\text{ ha}^{-1}$  per year) whereas in the northern hemisphere, it is 20–60 years ( $5\text{--}15\text{ m}^3\text{ ha}^{-1}$  per year).<sup>4</sup> Softwoods (pine, fir, spruce, others) are typical native species industrialized in the northern hemisphere, although in the southern hemisphere non-native species are implanted for industrial use. The manufacture of wood products generates a variety of residues as sawdust and bark, with almost no commercial value. In regions with intensive industrial forest activity, large amounts of these residues are produced. The average costs from wood residues at mill were estimated, in a study based on selected countries (Argentina, Brazil, Canada, China, among others; 2017 baseline), to be within a range of USD 18–36 per dry ton.<sup>5</sup> In the case of Brazil, the estimated price of these wastes (forestry processing residues and sawdust) could be even lower (USD 16–23 per dry ton).<sup>6</sup> These wastes can be used in nearby locations because they are already concentrated and do not need to be collected. The projection of the biomass waste associated with current forest activities during the 2017–2027 period in Argentina is around 2 100 000 metric tons (wood waste available: 5 000 000), mainly from pine and eucalyptus.<sup>5,7</sup>

Pine sawdust is an important lignocellulosic waste from the primary industrialization of wood in Argentina, so its valorization using the biorefinery platform could add new value chains to its forest industry and this could serve as a model for other countries. The global forest industry is focused on the development of technologies for waste reuse or valorization. A typical large-scale biorefinery scenario is based on the production of commodities such

as the extraction of sugars from biomass to produce cellulosic ethanol, steam, and electricity. However, the production of various wood byproducts is crucial to have competitive production costs.<sup>8,9</sup> Numerous products from each component of the lignocellulosic material (cellulose, hemicelluloses, lignin, and extractives) can be obtained. Pine hemicelluloses are mainly formed by galactoglucomannans, arabinoglucuronoxylan, and arabinogalactan. Their chemical structure allows their use as precursors for a wide range of chemicals such as alcohols, organic acids, and furanic compounds. However, current alternatives for the valorization of hemicelluloses are commercially limited. *Lactobacillus* species and *Saccharomyces cerevisiae* yeasts are conventionally used for lactic acid and bioethanol production, from sucrose or starch (glucose), respectively. However, the use of other sugars such as galactose and mannose (hexoses) or xylose and arabinose (pentoses) could improve productivity and make the fermentation process economically feasible.<sup>10–13</sup>

Bio-based chemicals from hemicelluloses would be an alternative to the products derived from oil. Levulinic acid is a platform molecule that can be converted into derivable high-value-added chemicals. Levulinic acid can be synthesized from petrochemical feedstocks but can also be produced from carbohydrates (starches, cellulose, or hemicelluloses). The petrochemical route involves the conversion of maleic anhydride or the hydrolysis of furfuryl alcohol, which is expensive and polluting. The biobased production of levulinic acid (LA) is a cheaper and more environmentally friendly alternative.<sup>14,15</sup>

The commercial-scale production of LA from lignocellulosic materials requires a cheap feedstock such as forestry wastes (branches, foliage, and roots) or industrialized wood wastes (bark, sawdust, wood chips, and other residues) to compete with the petrochemical route.<sup>16–19</sup> Levulinic acid from carbohydrates is produced by sequential reactions, involving the isomerization of the hexoses, dehydration to hydroxymethylfurfural (HMF), and the rehydration and rearrangement of HMF to LA and formic acid (FA). Levulinic acid can be used in the manufacture of various high-value organic chemicals with numerous potential industrial applications as polymers, resins, perfumery, flavorings, pesticides, and fuel additives.<sup>20</sup> The global market for LA, FA, and furfural are expected to grow at a compound annual growth rate (CAGR) of 5.7%,<sup>21</sup> 4.9%,<sup>22</sup> and 11.6%,<sup>23</sup> respectively. Formic acid reacts with alcohols and alkenes to produce formate esters, which may be applied as solvents, chemicals, or fuel additives.<sup>24</sup> It is used principally as a preservative in the food industry. Furfural is used for the production of furfuryl alcohol,

tetrahydrofurfuryl alcohol, acetyl furane, furoic acid, methyl furane, and tetrahydrofuran (THF). These furfural derivatives can be used for the production of lubricants, adhesives, and polymers.<sup>25,26</sup> Pellets are a clean renewable fuel, mostly produced from highly compressed sawdust, planer shavings, and bark. This fuel has been considered as one of the substitutes for fossil fuels like coal and oil for heating and cogeneration.<sup>27</sup>

One of the alternatives to develop the exploitation of the forest residues is pelletization. The pellets are denser, drier, and easier to handle than the original forest residues and can be used in the production of electricity and heat. Its manufacture is growing mainly in Nordic countries.<sup>28</sup>

The main production of wood pulp and wood-derived products in Argentina is concentrated in the north-eastern region, mainly in Misiones. This highly forested province has an important number of sawmills and other forest-based industries, pine being one of the most important raw materials. In sawmills, about 9% of the initial raw material is converted to sawdust, so its valorization could add new value chains to the forest industry of Argentina and other countries in the region.

Pine sawdust represents an attractive raw material for the production of high-value-added compounds but its fractionation is complex due to its chemical composition, e.g. its high content resin acid content, hemicelluloses, and guaiacyl-type lignin, which is not highly reactive.<sup>29</sup> Steam explosion (SE) has shown to be one of the most successful pretreatments for the removal of hemicelluloses from lignocellulosic materials, including pine<sup>30</sup> and in optimized conditions, SE allows the extraction of the hemicellulosic fraction from pine sawdust with low degradation.<sup>29</sup>

Currently, most processes and technologies for biorefineries are in development at pilot scale and at demonstration plants, so information about commercial plants is not available. The design of these processes and technologies requires economic, technical, and environmental analysis. Techno-economic analysis considers the processes and their mass and energy balances (input–output model). The feasibility of a given processing technology set can be determined on the basis of the available feedstocks and their prices in the region, the existing and emerging technologies that can be used to produce the targeted products, and their mass and energy balance models. This allows capital and operating costs to be estimated. Revenues and profitability are a function of the plant capacity. The internal rate of return (IRR) is a reliable measure for a first profitability estimation.<sup>31</sup> The uncertain economic variables that will probably have a major impact on the

economic performance of the project must be identified. For this purpose, a sensitivity analysis is performed by varying economic variables in order to identify the sensitive factors. The probability distribution of an uncertain variable can also be defined and the IRR and its probability can be calculated using the defined distributions.<sup>32</sup>

Many articles and patents propose the production of LA as a single product based on the use of the raw material.<sup>33–35</sup> However, few studies analyze its production in conjunction with other co-products to improve the economy of the process.<sup>36–38</sup>

The present work presents a techno-economic analysis of alternatives for the production of carboxylic acids, such as LA, FA, acetic acid, and furfural, from the hemicelluloses obtained in the liquid fraction of a steam explosion pretreatment of pine sawdust, together with pellet production from the solid fraction and the generation of process steam. The best alternative for pine sawdust biorefinery were determined, focusing on the improvement of the production processes, the satisfaction of the energy requirements, and the reduction of the recovery costs. Some parameters were selected and a sensitivity analysis was performed for the alternative with the highest IRR value, varying one parameter at a time (One-At-a-Time test, OAT). The way in which each parameter would affect the IRR value was also determined.

## Methods

The technological scheme in Fig. 1 shows the alternatives for the production of carboxylic acids, furfural, pellets, and the generation of process steam for the pine sawdust biorefinery studied in this work.

The production of LA proposed in this study mainly involves the following stages: (1) hemicellulose extraction by steam explosion, (2) conversion of hemicellulosic sugars to LA, and (3) recovery of LA and byproducts (formic and acetic acids, and furfural). The alternatives of using the residual sawdust from the steam explosion for pellets and/or steam production were also analyzed.

## Definition of scenarios

In the present work, LA production from the hexose-rich spent liquor of the steam explosion pretreatment of pine sawdust was analyzed to valorize the hemicellulosic sugars. The following alternatives were also proposed to valorize the residual solid: (1) the use of 100% of the residual solid for pellet production, (2) the use of a fraction of the residual solid to satisfy the demand of process steam and

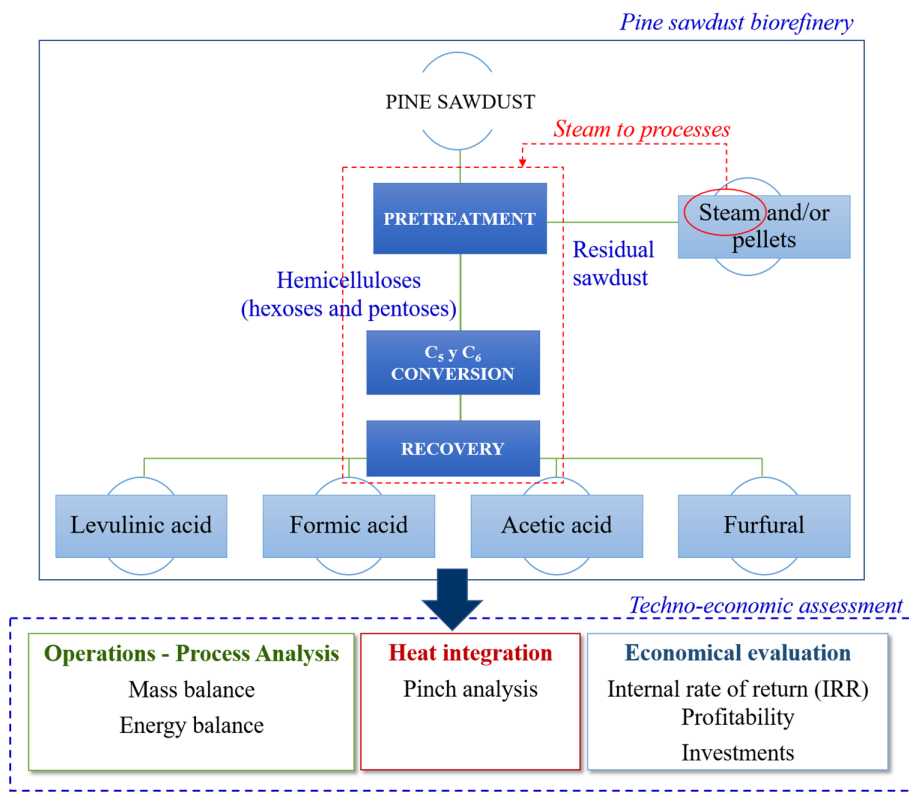


Figure 1. Techno-economic assessment for the proposed biorefinery of pine sawdust.

**Table 1. Main parameters of operations and conversion processes.**

Stage	Process conditions	Scaling factors (Eq. (2))
<b>Levulinic acid production</b>		
Steam Explosion (Reactor)	190 °C; 7.5 min; 3% of H <sub>2</sub> SO <sub>4</sub> w/w and a liquid solid ratio (LSR) of 1:1. <sup>39</sup>	0.78 <sup>40</sup>
Evaporation	To reach 200 g L <sup>-1</sup> of sugars. <sup>41</sup>	0.54 <sup>42</sup>
Acid-catalyzed dehydration (Reactor)	140 °C; 2 h; 98 g L <sup>-1</sup> H <sub>2</sub> SO <sub>4</sub> (catalyst) <sup>43</sup>	0.78 <sup>40</sup>
Liquid-liquid extractor	25 °C; 1 atm; solvent-aqueous phase ratio of 1.2:1 <sup>44</sup>	0.78 <sup>40</sup>
Recovery	Two columns at 169 °C (1.21 atm) and 260 °C (1.16 atm), respectively, to separate LA, FA, and furfural. <sup>44</sup>	0.70 <sup>45</sup>
Furfural recovery	One column at 90 °C (1 atm) and a settler. <sup>46</sup>	0.70 <sup>45</sup>
<b>Pellets production</b>		
Drying	Rotary drum dryer heated with steam to reach 12–17% moisture <sup>47,48</sup>	0.60 <sup>48</sup>
Pelletizing	High-pressure pelletizer (~1 t h <sup>-1</sup> ), 8–5% final moisture <sup>47</sup>	0.85 <sup>48</sup>
Cooling	Countercurrent air (~20 min) <sup>47</sup>	0.58 <sup>48</sup>
Screening	To remove and recover the fine material <sup>47</sup>	0.60 <sup>48</sup>

the remaining fraction for pellets production, and (3) the integration of selected streams to improve the energy consumption in alternative 2.

The main parameters of the operations and processes involved are shown in Table 1.

### Raw material

Pine sawdust from local sawmills (Misiones, Argentina) was used as raw material. The chemical composition of pine sawdust was reported by Stoffel,<sup>39</sup> it was 39.4% glucans, 6.42% xylans, 1.97% galactans, 1.32% arabans, 10.6

mannans, 1.79% acetyl groups, 2.28 extractives, and 30.1% lignin (percentage of oven-dry weight of sawdust, or % o.d.w.).

## Levulinic acid production

The selected processes involved the extraction of hemicelluloses by steam explosion, the conversion of the hexoses from spent liquors to LA, and the production of pellets from the residual solid, as shown in Fig. 2.

The temperature and time to maximize the extraction of hexoses by steam explosion were selected from previous studies performed by our research group.<sup>29,39</sup> The conditions of the steam explosion and the composition of the residual liquor were defined by Stoffel.<sup>39</sup> In the steam explosion step, the reactants are fed into a batch reactor and a 69% yield is assumed.<sup>39</sup> The residual solid is washed after the steam explosion to extract the hemicelluloses using 3.5 m<sup>3</sup> of water per ton of pulp obtained after pre-treatment.<sup>49</sup> Washing is developed in the vessel used for the steam explosion. The washing stream, rich in hexoses,

is concentrated in a falling film evaporator to reach 200 g L<sup>-1</sup> of sugars. The acetic acid present in this stream is removed in the evaporation step<sup>50</sup> and could be recovered as vinegar for nonfood use (not considered in this study). In evaporators, it was assumed that 3 t of water are evaporated for each ton of consumed steam.<sup>51</sup> The hexoses are dehydrated to HMF by an acid-catalyzed process and subsequently rehydrated to LA and FA. The yield of glucose conversion to LA is 60% (mol mol<sup>-1</sup>).<sup>43</sup> It is assumed that other hexoses (mannose and galactose) are converted to LA at the same rate.<sup>52</sup> This process generates FA, furfural, and acetic acid as byproducts.<sup>34,44</sup> To simplify the analysis, the concentrations of FA and furfural were considered to be similar and the acetic acid concentration was considered to be negligible.<sup>34,52</sup> Another byproduct that could be formed is humins (formed from hexoses and HMF degradation)<sup>41,43</sup> and its formation in the acid catalysis process depends principally on the temperature.<sup>53</sup> This byproduct could be separated from the product mixture in a filter unit.<sup>41</sup> The formation and treatment of humins will be considered in a later work.

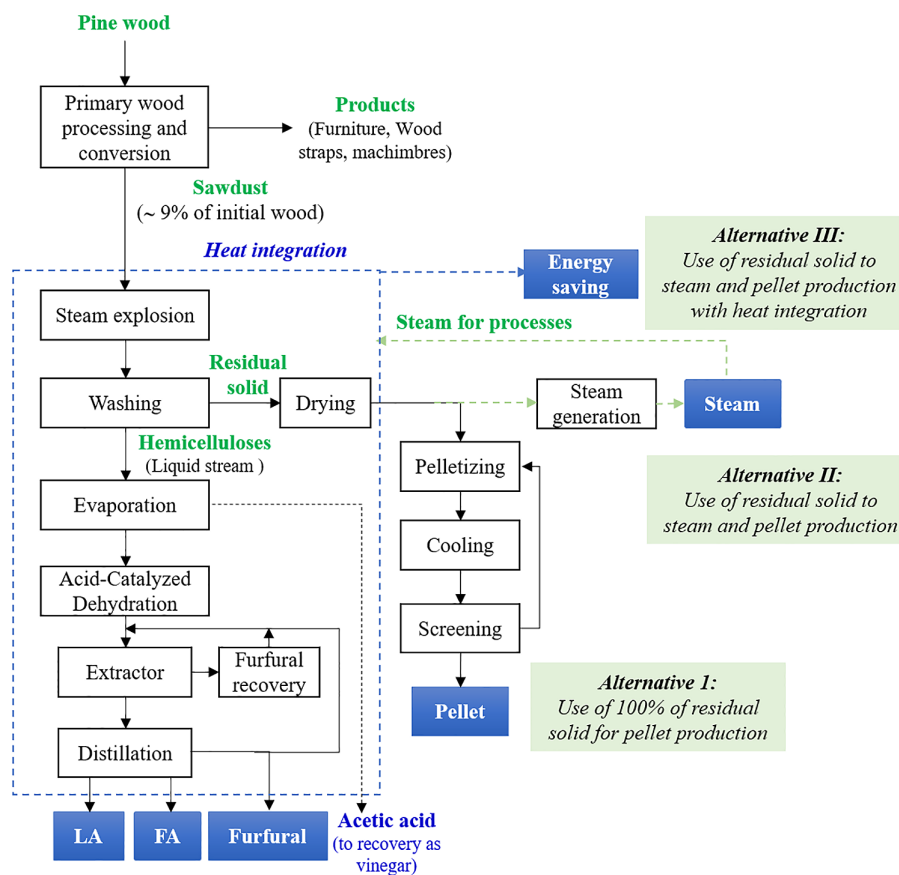


Figure 2. Simplified block flow diagram of the processes for different alternatives (LA: levulinic acid; FA: formic acid).

Levulinic acid, FA, and furfural (5.2%, 2.1%, and 2.1%, respectively) are recovered from the liquid stream coming out from the acid catalysis by liquid-liquid extraction using furfural as a solvent.<sup>44</sup> This liquid stream, together with furfural, is introduced into the extractor to generate an aqueous phase (top stream) and a solvent phase containing mainly LA, FA, and furfural (bottom stream). It is supposed that LA and FA are completely extracted in the solvent phase.<sup>34,44</sup> The solvent phase was simulated with ASPEN Plus using the scheme proposed by Nhien *et al.*,<sup>44</sup> and the operational parameters were determined. The solvent stream is fed to the first distillation column to recover FA at the top (99.9%), whereas the bottom stream is introduced into the second distillation column to recover LA and furfural (both with 99% of yield).<sup>44</sup>

The aqueous phase coming out of the extractor, containing water, furfural (7%), and H<sub>2</sub>SO<sub>4</sub><sup>34</sup> is sent to a distillation column to recover the furfural. The liquid stream at the top of the column is sent to a settler to separate the furfural-rich phase and the water-rich phase. The aqueous stream at the bottom of the column, containing mainly water and H<sub>2</sub>SO<sub>4</sub>, is reused in the acid catalysis process.<sup>46</sup>

## Pellet production

The chemical composition of the pretreated sawdust is 49.1% glucans, 1.3% xylans, 1.28% mannans, and 43.3% lignin (percentage on oven-dry weight, % o.d.w.).<sup>39</sup> Three alternatives were assessed to exploit the residual solid from the steam explosion for pellet production.

## Alternatives for steam use and energy saving

### Alternative I

Based on updated literature,<sup>47,54</sup> a process was selected that involves drying, pelletizing, cooling (to allow solidifying and strengthening of the pellets, which provide strength and durability to granules), and screening through a vibrating sieve to remove fine material and ensure a clean fuel source.

The fine material is recovered by introducing it back into the pelleting process. In conventional production processes, grinding of pellets is usual after drying,<sup>47</sup> but grinding is not necessary in this case because the particle size of the sawdust is within the specified values (< 6 mm).<sup>55</sup>

### Alternative II

For the application of this alternative, the amount of residual solid needed to satisfy the process steam for LA production and the remaining solid available for pellet production has

to be determined. The calorific value of pine sawdust after the steam explosion treatment is estimated with the higher heating value (HHV) parameter, as shown in Eqn (1):<sup>56</sup>

$$HHV (MJ kg^{-1}) = 0.1736Ce + 0.2663L + 0.3219 \quad (1)$$

where *Ce*, *L*, and *E* are the weight percentage on dry biomass basis of polysaccharides (cellulose and hemicelluloses), lignin, and extractives, respectively.

### Alternative III

Pinch analysis was used to identify the opportunities for heat integration.<sup>57</sup> To determine the potential of heat recovery, heat integration was performed by synthesizing and optimizing the heat exchanger network (HEN), using a global minimum temperature difference ( $\Delta T_{min}$ ) of 10 °C.<sup>57</sup>

## Mass and energy balances

The mass and energy balance calculation of the global process, equipment sizing, product yields, and economic analysis was carried out using Apache OpenOffice Calc software. Global mass balances were conducted in all the unit operations. The mass balances of the individual components in the steam explosion, washing, and evaporation steps were performed considering sawdust, water, sulfuric acid, glucans, xylans, mannans, galactans, glucose, xylose, mannose, galactose, arabinose, HMF, furfural, and acetic acid. For acid catalysis, the extractor, furfural recovery, and distillation steps were performed considering furfural, water, FA, LA, and sulfuric acid. Mass and energy balances were expressed per ton of dry pretreated sawdust. The main flows involved in each step of the different processes formerly described were considered to accomplish the mass and energy balances. The yields of the different operations and chemical reactions, chemical reagents, supplies, etc., were established on the basis of an updated bibliography.<sup>39,43,44,46–48</sup> The energy balance was developed by calculating the energy consumption of equipment and processes, and the need for heating and cooling of the different streams. Utilities, electricity consumption of the processes and related equipment, and water heating and cooling were estimated as proposed by Stuart and Halwagi.<sup>42</sup> Saturated steam at 8 bar (evaporation, acid catalysis, distillations) and 13 bar (steam explosion) was used for heating. The energy lost during operation time in each equipment were assumed in 10% of total heat energy required.

The heat integration to reduce the energy costs by reusing the heat energy in the process streams was evaluated by pinch analysis. For this purpose, the streams with values of  $m \times Cp > 17 \text{ kJ s}^{-1} \text{ }^\circ\text{C}$  were considered, where *m* is flow (kg s<sup>-1</sup>) and *Cp* is the heat capacity of the stream (kJ kg<sup>-1</sup>°C).

## Economic analysis

The economic analysis was performed considering the process design and estimating the production costs, labor costs, and capital investment, among other considerations.<sup>42,58,59</sup>

Equation (2) was used to estimate the capital required for the project based on biomass production at different scales:

$$C = C_o \left( \frac{M}{M_o} \right)^n \quad (2)$$

where  $C$  is the process equipment cost of a plant with a capacity  $M$ ,  $C_o$  is the reference cost of a plant with a capacity  $M_o$ , and  $n$  is the scaling factor (smaller than 1). Different scaling factors, cost installations, and other costs were estimated from updated literature.<sup>31,40,42,59</sup>

Labor requirements were calculated based on the type of process (batch process) and the capacity of the facility.<sup>40</sup>

The availability of the raw material was established as 1 28 800 tons per year of dry sawdust. The internal rate of return (IRR) was used as an indicator of the profitability of potential investments in biorefinery projects<sup>42,60</sup> as the higher the IRR, the higher the profitability of potential investments. The straight-line depreciation method was used to calculate the annual depreciation of the investment and the IRR values were determined from the cash flows. Usually, a financial analysis could be developed in a different time horizon, like 10 years,<sup>61</sup> 15 years,<sup>62</sup> and 20 years.<sup>63</sup> In Argentina, due to economic instability, requests for financial support to the National Bank are

evaluated with an IRR of 5 years.<sup>64</sup> Other studies state that in financial analysis, a 5-year value is in the range of the standard requirements by the financial market.<sup>65</sup>

A sensitivity analysis was carried out varying each selected input parameter for the alternative with the highest IRR value, to determine how these parameters impact on the IRR values. The method is based on the impact of the main uncertain parameters one at a time, keeping the other parameters fixed. The selected parameters were therefore varied by  $\pm 10\%$ , independently, assuming a normal distribution around the mean value.

## Results and discussion

### Levulinic acid production

The steam explosion extracts 309 kg of materials per ton of dry sawdust. Dissolved solids are recovered from the residual solid by water washing (2422 kg of water per ton of dry sawdust). The composition of the liquid stream is 45 g L<sup>-1</sup> of sugars (9.2 g L<sup>-1</sup> of glucose, 5.4 g L<sup>-1</sup> of galactose, 10.5 g L<sup>-1</sup> of xylose, 19.9 g L<sup>-1</sup> of mannose), 2.7 g L<sup>-1</sup> of HMF, 2.7 g L<sup>-1</sup> of furfural, and 4.8 g L<sup>-1</sup> of acetic acid. The energy consumption of the process is 354.7 kWh per ton of dry sawdust.

Before the acid catalysis, the liquor is concentrated up to 200 g L<sup>-1</sup> of total hexoses in a triple effect falling film evaporator.<sup>66,67</sup> The amount of liquor (water + acetic acid) removed by evaporation is 2712 kg and the energy required is 1898 kWh. It is assumed that all acetic acid formed in the steam explosion process (about of 18 kg) is removed by

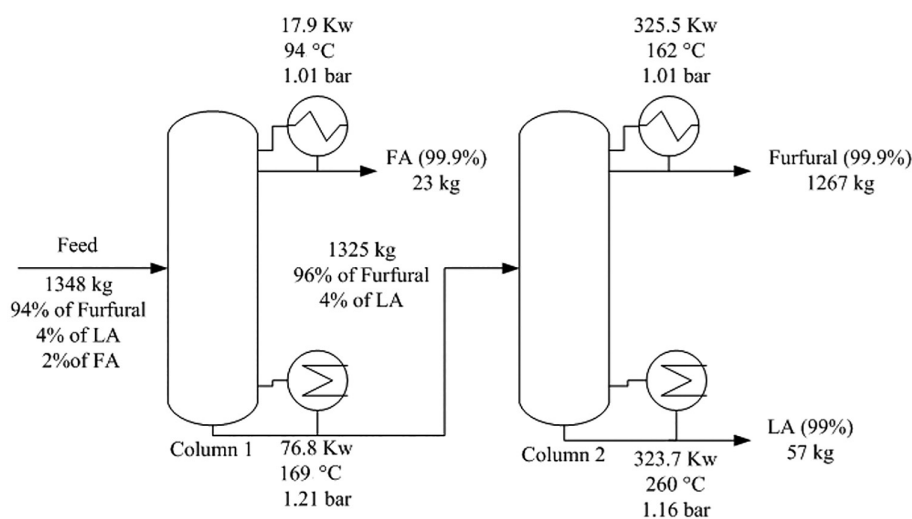


Figure 3. Scheme of LA recovery for a dry sawdust feed of 1 ton (LA: levulinic acid; FA: formic acid).

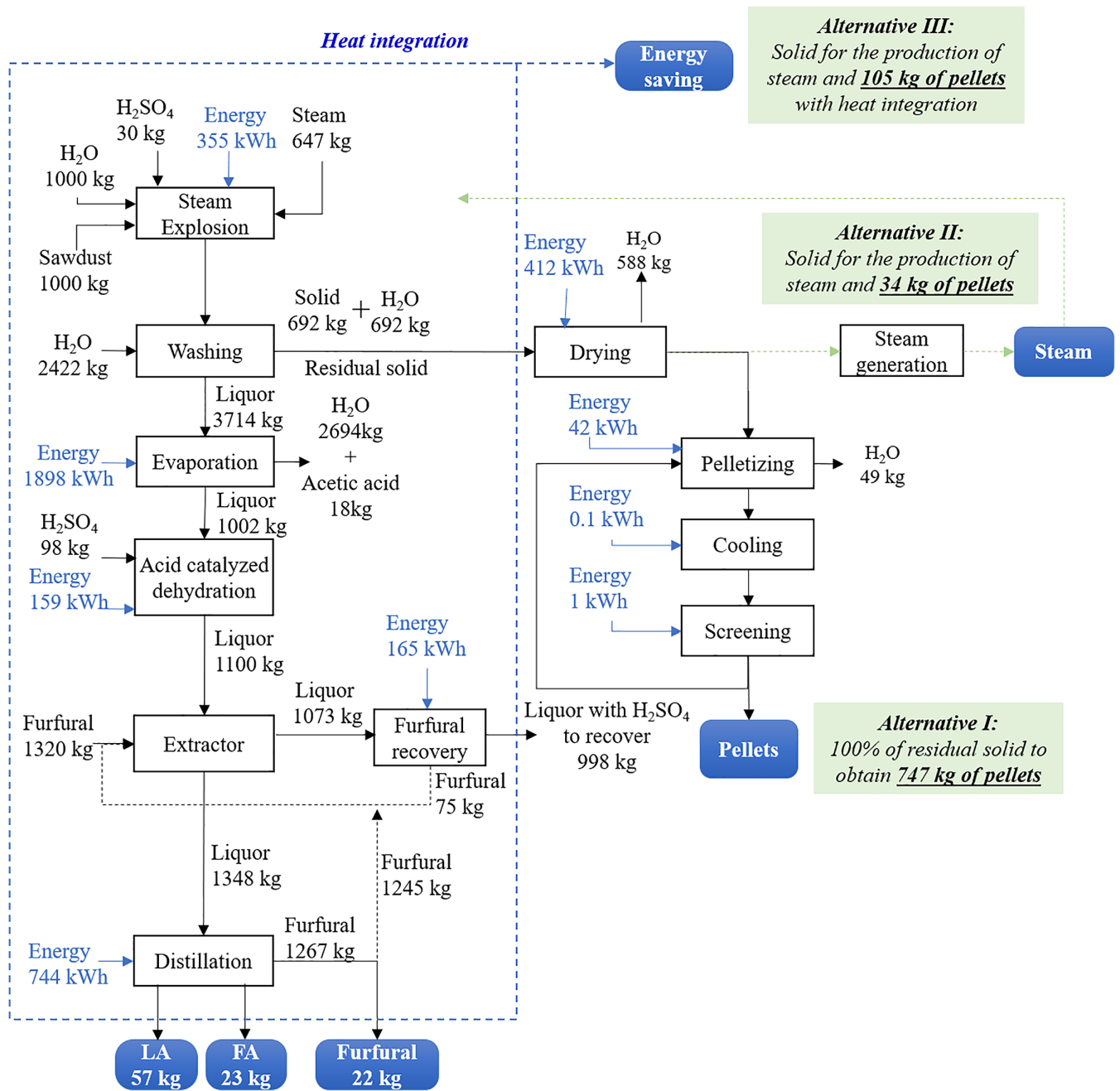


Figure 4. Mass and energy balances for the technological schemes of the different alternatives (LA: levulinic acid; FA: formic acid).

evaporation.<sup>50</sup> The concentrated stream, rich in hexoses (1002 kg), is converted to LA in a jacketed reactor by acid-catalyzed dehydration (159 kWh of energy requirement). The outgoing stream from the reactor contains about 57 kg of LA, and 23 kg of FA, 22 kg of furfural, water, and H<sub>2</sub>SO<sub>4</sub>. This stream is sent to a liquid-liquid extractor at room temperature using furfural as a solvent. In the extractor, a water-rich phase (84% of water, 7% of furfural, and 9%

H<sub>2</sub>SO<sub>4</sub>)<sup>34</sup> and a furfural-rich phase (LA and FA) are separated (supposing that the furfural-rich phase lacks water). To make the process economically viable, the water-rich phase is sent to a distiller column to recover furfural with a yield of 99.5%, requiring 165 kWh. At the top of this column, 75 kg of furfural are recovered. The stream at the bottom of the column contains the H<sub>2</sub>SO<sub>4</sub> that could be reused in the acid catalysis, although its reuse is not considered



in this work. The furfural-rich phase coming out of the extractor is recovered by two distillation columns. The balances of mass and energy were performed using ASPEN Plus software and these results are presented in Fig. 3.

In the first column, 23 kg of FA are recovered at the top and the stream at the bottom is sent to a second column where 57 kg of LA and 1267 kg of furfural are obtained with an energy demand of 744 kWh. The mass balance for 1 t of dry pretreated sawdust is shown in Fig. 4. The total amounts of products obtained and to be sold are 57 kg of LA (99 wt.%), 23 kg of FA (99 wt.%), and 22 kg of furfural (99.9 wt.%).

## Pellet production

### Alternative I

Conditions for pellet production were chosen from selected references.<sup>47,54,68</sup> Pellet production is 0.62 m<sup>3</sup> per ton of dry sawdust (or 747 kg per ton of dry sawdust for a pellet density of 1200 kg m<sup>-3</sup>). In the drying process, the final moisture content has to be about 15%, so 588 kg of water by ton of solids must be removed in this step. Drying consumes a large amount of energy and is a focal point of research as the industry attempts to minimize costs and improve the quality of wood-pellet energy.<sup>47</sup> The rotary drum dryer is the one most commonly used in pellet production plants<sup>69</sup> and could be heated by steam.<sup>70</sup> In pelletizing, 49 kg of water are removed from the material because the mechanical treatment increases the temperature to 100 °C due to friction, leaving 5–8% of moisture content in pellets. Pellets are subsequently air cooled to solidify lignin. This strengthens the pellets. In contrast

to the drying process, cooling does not involve any additional energy.<sup>47</sup> Finally, the pellets are screened, and the recovered fines are returned to the pelletizing process to ensure that no raw material is wasted.

The drying process consumes 411 kWh per ton of pretreated sawdust, representing about 90% of the total energy used for pellet production (about 11% of the total energy used for the LA and pellet production). The evaporation stage to concentrate of sugars represents almost 50% of the total energy consumed (Fig. 5).

The production costs are detailed in Fig. 6. The highest production cost of the mill is processing energy, including the energy for LA production (45%) and the energy for pellet production (11%). This cost involves all direct production activities (see Fig. 3).

In the case of LA, energy costs refer to the steam necessary for all direct activities, whereas in the case of pellets, the costs refer to the electrical energy and steam needed for the direct activities. Supplementary activities costs (conveyor belts, suction systems, etc.) are included in the utilities. Different alternatives were proposed for its reduction, improving the profitability of the process.

### Alternative II

An alternative to reduce the energy costs is the use of a fraction of pretreated sawdust to generate steam for the production of LA. It was determined that the solid fraction needed to supply the demand of steam would be 95% of the total solid available after the steam explosion, and the remaining 5% could be used to produce commercial pellets. Considering this alternative, all the energy required

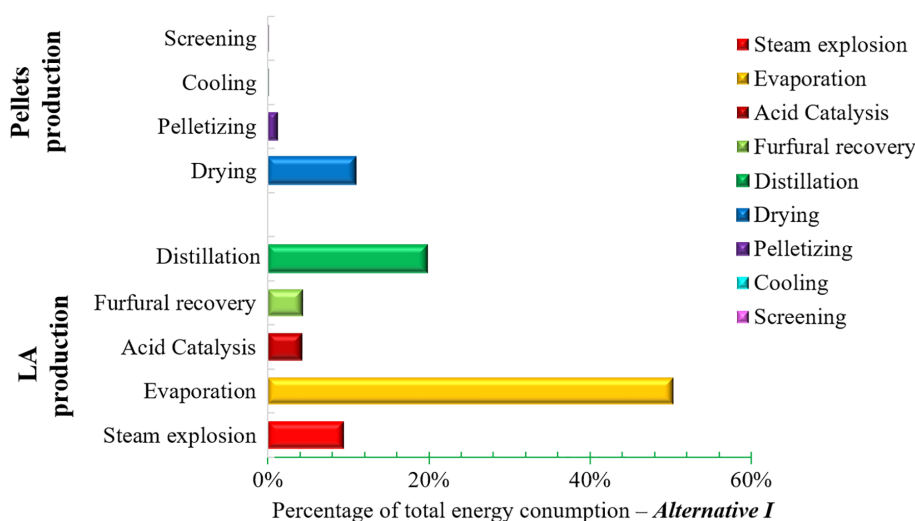


Figure 5. Main energy consumption for levulinic acid and pellets production (Alternative I).

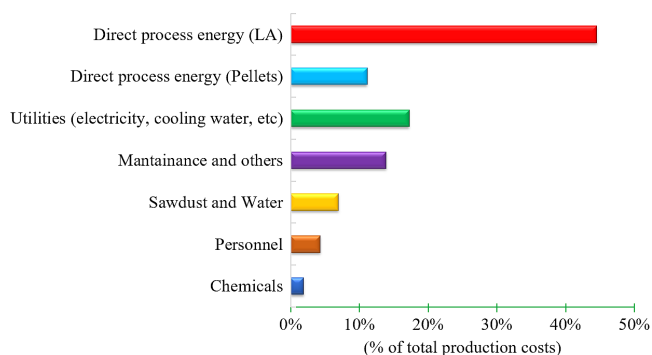


Figure 6. Production costs for levulinic acid and pellets production (Alternative I).

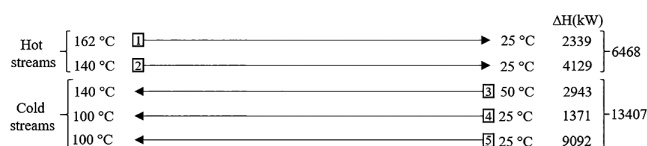


Figure 7. Exchanger network for the selected streams.

for LA production is supplied by the steam produced by the residual solid (steam for the steam explosion, evaporation, acid-catalysis, and distillations columns). This option allows an 80% reduction in total energy costs. In this scenario, 34 kg of pellets per ton of pretreated sawdust are produced. The production and the other costs of LA, FA, and furfural are similar to those in the first alternative.

Due to the low amount of solid available for pellet production, two options were analyzed: (1) its use as biomass fuel to satisfy the energy requirements of LA production, using the solid remnants for pellet production (as was studied previously), and (2) its use as biomass fuel as it is, without any pellet production (in this case the drying process was taken into account).

### Alternative III

A pinch analysis was performed to reduce the energy consumption. The following streams were taken into account in the analysis: (1) outgoing furfural from distillation unit; (2) incoming liquor to the extraction unit; (3) incoming liquor of the hydrolysis unit; (4) incoming liquor of the distillation unit, and (5) incoming liquor of the evaporation unit (stream details are presented in Fig. 7). Streams inside the distillers were not taken into account because the adopted processes were already optimized.<sup>44,46</sup>

The analysis determines that the minimum requirement for cooling duty is 750 kW and the minimum requirement for heating duty is 8033 kW. The potential heat recovery is

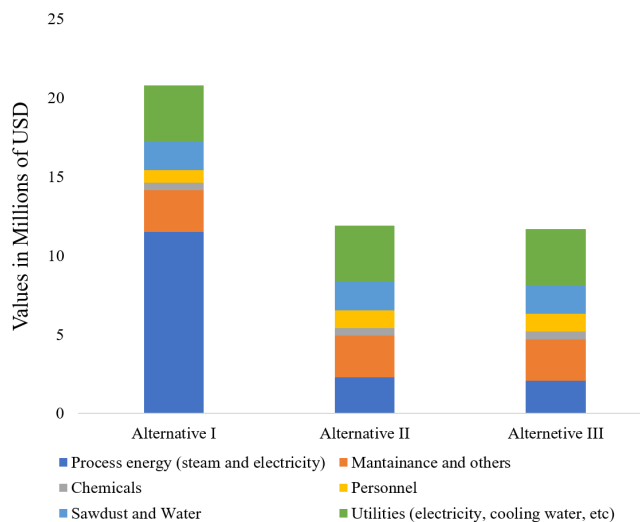


Figure 8. Annual production costs of LA of the proposed alternatives.

5701 kW, which means that the consumption of residual solids to generate steam for LA production could be reduced from 95% to 86%, thus increasing the capacity for pellet production (105 kg of pellets per ton of dry sawdust in this alternative).

The annual production costs of LA (including energy, utilities, raw materials, chemicals, and maintenance) in the different alternatives are summarized in Fig. 8. Production costs of LA were reduced by 42.8% in alternative II in comparison with alternative I, and when integrating the selected streams (alternative III), annual production costs of LA were reduced by 43.9% in comparison with alternative I.

Alternative III allows a reduction of 10% in the steam consumption for LA production in comparison with alternative II, increasing the capacity for pellet production.

### Economic evaluation

This study assumes that the facility will have a life cycle of 10 years and the project is considered to be economically viable when the IRR exceeds 15%. The parameters taken into account for the analysis are shown in Table 2. It was considered that the plant would operate at 50% of its nominal capacity in the first year, and at full capacity in the rest of its lifetime (10 years).

The methods to estimate the total costs investment (TCI) are summarized in Table 3. TCI is 3.5 times greater than the purchased equipment costs using the modified NREL method.

The IRR of each scenario was calculated taking into account the conversion of the hexoses from the residual

**Table 2. Unit prices of pine sawdust, chemicals, products, energy, labor, and maintenance.**

Unit prices at mill gate	
Pine sawdust (USD t <sup>-1</sup> ) <sup>a</sup>	7.02
Water (USD m <sup>-3</sup> ) <sup>b</sup>	0.585
Electricity (USD MWh <sup>-1</sup> ) <sup>c</sup>	85
Labor (USD h <sup>-1</sup> ) <sup>d</sup>	3.09–4.41*
Steam (USD t <sup>-1</sup> )	25
Maintenance and taxes	8% (of revenue)
Tax rate	35%
Days operation (days year <sup>-1</sup> )	261
Operational hours (hours day <sup>-1</sup> )	16
Chemicals for production	
H <sub>2</sub> SO <sub>4</sub> (USD kg <sup>-1</sup> ) <sup>e</sup>	0.04
Products (assumptions)	
Formic acid (USD kg <sup>-1</sup> ) <sup>f</sup>	0.7
Levulinic acid (USD kg <sup>-1</sup> ) <sup>g</sup>	3.8
Pellets (USD t <sup>-1</sup> )	125.7*
Furfural (USD kg <sup>-1</sup> ) <sup>h</sup>	1

<sup>a</sup>Price estimated from the Instituto Nacional de Tecnología Agroindustrial (INTA).<sup>71</sup>  
<sup>b</sup>Average price in Argentina.  
<sup>c</sup>Energy cost in Misiones, Argentina.  
<sup>d</sup>Value depends on the worker position.  
<sup>e</sup>Average international price.<sup>72</sup>  
<sup>f</sup>Average international price.<sup>74</sup>  
<sup>g</sup>International price.<sup>73</sup>  
<sup>h</sup>International price.<sup>73</sup>  
 \*Assumptions.

hemicellulosic liquor to LA, FA, and furfural, and alternatives for the valorization of the residual solid. The production costs in the different alternatives include feedstocks, chemicals, utilities (electricity and steam), depreciation, labor, and maintenance. It is assumed that the feedstock costs (sawdust and water) of each alternative are taken into account in the production costs of LA and are excluded from the production costs of the pellets. Internal rates of return for these alternatives are summarized in Table 4.

The first alternative (all residual solid is used to produce commercial pellets) presents the lowest IRR, due to the high energy production costs of the LA. To reduce LA production costs, alternative II-*a* (the use of a fraction of the pretreated sawdust for steam production) presents an

**Table 4. Comparative results of the economic analysis obtained for the three studied biorefinery scenarios.**

Alternative	Option	IRR (%)	Investment (MUSD <sup>a</sup> )	Production costs	
				Pellets (USD/ton)	LA (USD/kg) <sup>b</sup>
I		13.4	75.0	62.42	3.71
II	(a)	16.5	70.5	132.04	2.57
	(b)	16.6	70.1	—	2.57
III		17.0	71.7	76.14	2.54

<sup>a</sup>Millions of USD.  
<sup>b</sup>The production costs of LA include the production costs of FA and furfural.

**Table 3. Total costs investment (TCI) for all scenarios.**

Cost Items	Factor	Scenario costs (MUSD <sup>a</sup> ) for 1 28 800 ton sawdust year <sup>-1</sup>			
		Alternative I	Alternative II	Alternative III	
Total direct costs					
Purchased equipment	1.000	21.3	20.0	20.4	
Installation <sup>b</sup>	0.700	14.9	14.0	14.3	
Warehouse	0.025	0.53	0.50	0.51	
Site development	0.153	3.26	3.07	3.12	
Total Indirect Costs					
Prorateable Costs	0.188	4.01	3.77	3.83	
Fixed Expenses	0.188	4.01	3.77	3.83	
Office and construction	0.470	10.0	9.42	9.57	
Contingency	0.282	6.01	5.65	5.74	
Other	0.188	4.01	3.77	3.83	
Fixed capital investment (FCI)	3.194	68.0	64.0	65.1	
Working capital investment (WCI = 10% of FCI)	0.319	6.80	6.40	6.50	
Total Costs Investment (TCI)		~3.520	75.0	70.5	71.7

<sup>a</sup>Millions of USD.  
<sup>b</sup>This factor varies for each type of equipment. Calculation of TCI is based on an average value of installation cost of 0.7.

economic improvement with a lower investment cost due to a decrease in the pellet production scale. This alternative presents pellet production costs that are higher than the other alternatives due to the small fraction of sawdust used and the economy of scale.<sup>48</sup> Alternative II-*b* presents similar results to alternative II-*a*, due to the small influence of the pellets in the global scheme and the higher production costs of pellets. To be profitable it would be necessary to increase the selling price of the pellets over the production cost.

Finally, the energy integration of some streams involved in the production process in alternative II generates the

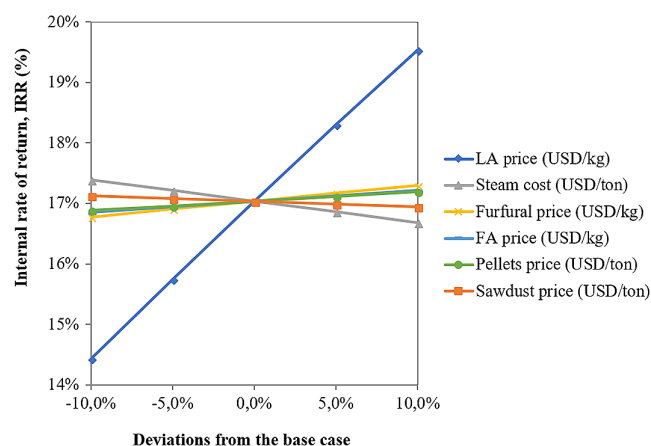


Figure 9. The result of the sensitivity analysis (one at a time test) on IRR values of alternative III.

economic improvement in alternative III, due to the increased availability of residual solids to produce pellets.

A sensitivity analysis was carried out on the IRR of alternative III. For this analysis the following input parameters were selected: sawdust price, LA price, steam cost, furfural price, FA price, and pellet price. These parameters were varied  $\pm 10\%$ . The results are shown in Fig. 9.

The LA price is the factor that most affected the IRR (due to its high market value), followed by the steam cost and furfural price. The factor that least affects the IRR is the price of sawdust and pellets. The LA price adopted in the present work ( $3.8 \text{ USD ton}^{-1}$ ) is lower than the current market price ( $5$  and  $8 \text{ USD ton}^{-1}$ ) because it is expected that the LA market price will decrease in the short or medium term as a result of the improvements that are being made in the process conditions and technology,<sup>75</sup> thus giving a more conservative result for the economic analysis. The range of the IRR values obtained, based on alternative III for the current market prices, is about 25% to 41%. These IRR values are very attractive when the current market price of LA is adopted.

Most technical-economic studies of pellet production have been based on the full use of feedstock to obtain a single product (pellets) – see Table 5. However, the production of pellets from pretreated biomass (using processes such as autohydrolysis or steam) allows the extraction of one or more components of lignocellulosic

**Table 5. Recent economic studies on the production of pellet and LA.**

Product	Feedstock	Capacity (ton year <sup>-1</sup> ) ×1000	Production costs (USD ton <sup>-1</sup> )	Country	References	
Pellets	Agricultural residues	70	170	Canada	48	
		150	122			
	Pine sawdust	22	56	Argentina	27	
		44	42 <sup>a</sup>			
	Steam treated hardwood	150	215	Canada	76	
	Wood	64	114	Finland	77	
		80	106 <sup>a</sup>			
	Pretreated sugarcane bagasse <sup>b</sup>		13.2	88	Argentina	78
			44	67		
			61	64		
Pretreated pine sawdust <sup>b</sup>		4.4	132	Argentina	Present Study	
		13.5	76			
		96	62			
LA	Sugarcane fiber (Cellulose fraction)	200	2000	Australia	79	
	Eucalyptus and olive pruning (Cellulose fraction)	395	N.d.	Southern Europe	38	
	Pine sawdust (Hemicellulose fraction)	128.8	3.710–2.540	Argentina	Present Study	

<sup>a</sup>Values converted using a factor of 1.2 to convert euros to dollars.

<sup>b</sup>Biomass pretreated with autohydrolysis or steam explosion before pelletizing. Not determined.

material (extractives, hemicelluloses or lignin), which can be converted into various products. This alternative is potentially advantageous in comparison with conventional pellet production. Pelletization of the pretreated material resulted in denser pellets and greater mechanical strength. Technical characteristics of the production process are also improved, such as the minimization of the energy required for densification in comparison with conventional pellets,<sup>80</sup> and the cost of electricity production from these pellets is less than from conventional pellets.<sup>76</sup> In the present study, it was proposed to produce pellets with pretreated sawdust with steam explosion; one of the advantages of using sawdust is that it is not necessary to use the grinding process (which is necessary in the case of bagasse and other waste). Another strong point of the proposed scheme is that it is possible to obtain a product with a production cost within the values obtained by other authors on a small scale (< 4500 ton/year), which means a real alternative for the recovery of waste for small forest industries. International prices depend on each country. North American conventional pellet prices have been estimated to range from 140 to 210 USD ton<sup>-1</sup>,<sup>76,81</sup> in Canada about 137 USD ton<sup>-1</sup>,<sup>81</sup> and in Argentina, the market price is in the range of 200 and 300 USD ton<sup>-1</sup>.<sup>82</sup>

In the case of LA, few technical-economic studies are based on the use of a cellulose fraction as feedstock on a large scale with high production yields. The production costs of LA reported in Table 5 are lower than the cost obtained in the present work, perhaps due to the difference in scale and the fraction used for LA production (a hemicellulose fraction in this study). In the present work, an innovative scheme for LA production was analyzed to valorize the hemicellulosic fraction of pine sawdust (which is rich in hexoses). The production costs obtained are below the market prices (LA market value ranges between 5 and 8 USD kg<sup>-1</sup>).<sup>21</sup>

The results obtained show that small-scales pine sawdust biorefineries are feasible and can be integrated into a bioenergy plant, farm heat plant, heat and power plant, or electricity generating plant by using solid residuals as feedstock.

## Conclusions

The present study analyzed the production of LA from the hexoses available in the liquid fraction from the steam explosion of pine sawdust integrated with alternatives for the use of the solid fraction.

Levulinic acid production from the liquid fraction and pellets production from the solid fraction was analyzed initially (alternative I). It was determined that the energy used for LA production was one of the main production

costs, so two other alternatives (II *a* and *b*) were proposed with the objective of reducing energy costs. When 95% of the residual solid was used to generate steam for the production of LA, there was an improvement in the IRR with respect to alternative I. However, when integrating some selected streams (alternative III), a decrease in steam consumption for LA production and an increase of the residual solid available for pellet production was obtained. Three alternatives for the valorization of pine sawdust were analyzed and the best of them obtained an IRR of about 17%.

The integration of this production scheme with conventional chains could contribute significantly to the total revenues of sawmills, valorizing the wood waste through the manufacture of multiple products and generating, at the same time, a modern industrial core with qualified manpower requirements benefiting the region socioeconomically.

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