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Abstract: Predictive food microbiology is normally based on mathematical models to predict the growth, inactivation or probability of microorganism growth which can be applied to establish the shelf-life of food. At present the effort in modeling is oriented towards extrapolation of results beyond experiments in order to predict growth of interacting microorganisms and develop new food preservation processes. In the present report two different mechanistic models which describe the growth of two interacting bacteria such as a lactic acid bacteria and Listeria monocytogenes are developed; they include two new inhibition functions based on kinetic reactions to describe the dynamic behavior of heterogeneous cell population. Both models are easy to handle and permit to introduce other kinetic reactions for more complex scenarios



### Instituto de Materiales de Misiones ONSEJO NACIONAL DE INVESTIGACIONES CIENTÍFICAS Y TÉCNICAS UNIVERSIDAD NACIONAL DE MISIONES

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Posadas, October 30, 2017

Dear Editor

I am attaching in the web page of The journal of Theoretical Biology a full paper entittled "*Comparison among growth model of interacting microorganism: a lactic acid bacteria and Listeria*" by Alejandro H. Pedrozo, Andrea M. Dallagnol, Carlos E. Schvezov of the Instituto de Materiales de Misiones (IMAM-UNaM), Misiones, Argentina to be considered for publication in your Journal.

Sincerely,

Carlos Schvezov Director

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1	Comparison among growth model of interacting microorganism: a lactic acid bacteria and Listeria
2	monocytogenes
3	
4	
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11 Abstract

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14	inactivation or probability of microorganism growth which can be applied to establish the shelf-
15	life of food. At present the effort in modeling is oriented towards extrapolation of results
16	beyond experiments in order to predict growth of interacting microorganisms and develop new
17	food preservation processes. In the present report two different mechanistic models which
18	describe the growth of two interacting bacteria such as a lactic acid bacteria and Listeria
19	monocytogenes are developed; they include two new inhibition functions based on kinetic
20	reactions to describe the dynamic behavior of heterogeneous cell population. Both models are
21	easy to handle and permit to introduce other kinetic reactions for more complex scenarios
22	
23	
24	Key words
25	modeling, interacting bacteria, food preservation, lactic acid bacteria

## **1. Introduction**

29	Predictive food microbiology is a multidisciplinary field which include disciplines such as
30	mathematics, engineering, chemistry and microbiology to predict microbial behavioral in
31	specific food under defined conditions. Mathematical models incorporate basic and constitutive
32	equations from those fields in order to predict the growth, inactivation or probability of
33	microorganism growth which can be applied to establish the shelf-life of food (Macdonald and
34	Sun, 1999). Lactic acid bacteria (LAB) are starter cultures able to compete with food
35	microorganisms and inhibit or delay growth of food-borne pathogens such as Listeria
36	monocytogenes (Vignolo et al., 2012). They can generally exert antimicrobial effect by the
37	production of inhibitory compounds which can either be unspecific metabolites such as acetic
38	acid, phenyllactic acid, indolelactic acid, etc. (Rodríguez-Pazo et al., 2013, Dallagnol et al.,
39	2015); or more complex and specific compounds such as bacteriocins (Alvarez-Sieiro et al.,
40	2016). Consequently, LAB is generally used as bio-preservative agents for controlling Listeria
41	monocytogenes and their growth are usually predicted by mathematical models. In this regard, a
42	good fitting model should be able to describe the behavior of both microorganisms with a
43	biological interpretation.
44	The mathematical models can be classified in three levels; primary, secondary and tertiary
45	models (Whiting and Buchanan, 1993). The primary models consist of mathematical functions
46	which are used to describe the time evolution of the number of cells occurring under specific
47	conditions. In addition, the primary models provide information about the growth parameters of
48	the microorganisms. The secondary models consist of a set of equations which describe the
49	changes of the growth parameters as a function of the environmental conditions, the
50	temperature, the pH and the water activity. The tertiary models consist of user programs which
51	include the primary and secondary models and therefore permit to use them for predictive
52	microbiology.
53	In particular, the prediction of bacterial growth in food based on primary models must be able to

54 describe growth with as few parameters as possible (McKellar & Lu, 2003). Bacterial growth

normally have three characteristic phases; i) the lag phase in which the bacteria get used to the
environment; ii) the exponential growth phase where the biomass growth is the fastest and iii)
the stationary phase where growth may even stop.

58 Several primary models where developed in order to describe the growth phases. For instance, 59 the logistic model (Gompertz) has been modified to describe the growth curves using four 60 parameters (Gibson et al., 1997). The three phases have also been approximated by three linear 61 segments in a so-called tri-linear model (Buchanan et al., 1997). Another model proposed in the 62 literature include four parameters in a logistic type model with delay which has very good 63 fitting capacity (Rosso et al., 1996). This model has been modified and used to describe the 64 simultaneous growth of Listeria monocytogenes (LM) and a lactic acid bacteria (LAB) 65 (Gimenez and Dalagerd, 2004). Despite the good fitting capacity of the fully empirical primary models, the model parameters included do not permit to describe the mechanisms by means of 66 67 which the bacteria get used to the new environment or how is the inhibiting growth process. A biological interpretation of the lag phase based on a physiological state concept was achieved 68 69 by incorporation of parameters in a model (Baranyi and Roberts, 1994) which is simple to use, 70 can be used under dynamic conditions, have good fitting capacity and as mentioned before, the 71 parameters have biological meaning (Isabelle and Andre, 2006). Other models have been 72 proposed with similar results which include a heterogeneous population of cells in two phases; 73 no growth and growth; despite their good results they are more difficult to apply (McKellar, 74 1997, McKellar and Lu, 2003). Heterogeneous population has also been modeled using a 75 deterministic approach, which partially describe part of the whole growth curve (Baranyi 1998; 76 Baranyi 2010). 77 In the case of simultaneous growth of the interacting bacteria, primary models have been 78 applied (Gimenez and Dalagard, 2004) for LM and LAB with the same limitations of the 79 empirical models which do not describe the growth mechanisms for each phase nor the 80 inhibiting process functions. 81 This inhibition mechanisms have been incorporated in the simultaneous growth of

82 staphylococcus aureus and LAB (Le Marc et al., 2009), as an interacting parameter related to

83	the population of LAB adapting the models of Baranyi and Roberts (1994) and Gimenez and
84	Dalgard (2004). However, the inhibition is associated with the metabolites (acids and
85	bacteriocins) they produce and not directly with the population.
86	In addition, models do not provide biologically based mechanisms for the growth inhibition of
87	the target bacteria (Van Impe et al., 2005; Poschet et al., 2005). Models applied to the
88	simultaneous growth of LM and LAB including inhibition growth were based on the privation
89	of nutrients on the substrate or the accumulation of metabolites (Van Impe et al., 2005). These
90	models are very flexible, can be applied to the growth of more than one bacteria, have good
91	fitting capacity and the parameters have biological interpretation. However, the inhibiting
92	function does not provide a biological interpretation of the process or mechanisms.
93	At present the effort in modeling is oriented towards extrapolation of results beyond
94	experiments to predict growth of interacting microorganisms in order to develop new food
95	preservation processes.
96	In the present report two primary models are proposed to describe the growth of
97	microorganisms for one species alone or two interacting species. The models are based on the
98	deterministic model due to Baranyi (1998) with the incorporation of an inhibition mechanism
99	based on series-parallels reactions (Ross et al., 2005). The results are compared with those
100	published in the literature on the interacting Listeria monocytogenes and LAB.
101	
102	
103	2. Model Formulation and Equations
104	
105	Two models are proposed for the growth of one kind of bacteria and then applied for two kinds
106	of interacting bacteria. The difference between the models is the degree of heterogeneity of the
107	population. In the first model or Model I, the bacteria are assumed to be in either one of the two
108	phases; no growth or exponential growth. In addition, a second classification is based on the

109 dynamic state of the bacteria in which only two states are considered: growth and no-growth

110 states. In Model I the two states are similar to the phases. This distinction becomes relevant in

111 the case of modeling with more than two phases as in the following model. The second model 112 or Model II, considers three phases; lag, exponential growth and stationary phases. The lag and 113 the stationary phases correspond to the no-growth dynamic phase. Both models are 114 schematically shown in Figure 1. The bacteria can go just once from the lag to the exponential growth phase in an irreversible way, when there are favorable conditions to grow. In addition, 115 116 when the concentration of metabolites is high enough the bacteria may go from the exponential 117 growth phase to the stationary phase in the no growth phase and remain there until the end of 118 the simulation.

119



120

**Figure 1**. Schematic representation of bacteria population and transitions in each model. States

122 in dashed line and phases in solid lines.

123

124

#### 125 **2.1 Model I**

126

127 In this model the total population of cells is heterogeneous and composed of some cells in the

128 no growth phase and others in the exponential growth phase. The transition from no growth to

the growth phase occurs due to the physiological state and ambient conditions. This transition

130 can be written in a kinetic equation as

131 
$$X_{ng} \xrightarrow{\kappa^X} X_{exp}$$
 (1)

Where  $X_{ng}$  and  $X_{exp}$  are the concentration of cells in the no growth and growth phases,

)

respectively; and  $\kappa^X$  is the transition rate from the no growth to the growth phase. 133 Once the cells are in the growth phase, they start to ingest nutrients in order to multiply 134 themselves. In the multiplication process and as a result of the cell division some metabolites 135

136 are produced and delivered to the environment.

137 The kinetic equation for the combined process can be written as

138 
$$C + X_{exp} \xrightarrow{\alpha^X} 2 X_{exp} + m M^X$$
(2)

Where C is the amount of nutrient consumed by the cell,  $M^X$  is the metabolite produced by the 139 cells and  $\alpha^X$  is the rate of reaction division process. 140

Most of the reports in the literature consider that the amount of nutrients is limited without 141 142 renewal as in the case of microorganisms growing in food. In this case growing is a transient 143 state where there is an accumulation of metabolites as by-products, which may be the key factor 144 that stop the growth before the lack of nutrient can affect bacteria multiplication. 145 So, the metabolites may inhibit growth of bacteria (Van Impe et al., 2005) which affect the

146 growth rate producing a phase change from growth to no growth. This change can be described 147 by the following rate equation

148

132

$$X_{exp} + M^X \xrightarrow{\gamma^X} X_{ng} + M^X \tag{3}$$

Where  $\gamma^X$  is the phase transition rate. 149

150 The set of differential equations which describe the growth kinetics of the bacteria for the 151 growth and no growth phases, the production of metabolites and the effect on the bacteria are as 152 follows

153 
$$\frac{dX_{ng}[t]}{dt} = -\kappa^X \left( X_{ng}[t] \right)^{e_1}$$
(4)

154 
$$\frac{dX_{exp}[t]}{dt} = \alpha^{X} (C[t])^{e_2} (X_{exp}[t])^{e_3}$$
(5)

155 
$$\frac{dX_{exp}[t]}{dt} = \frac{1}{m} \frac{dM^X[t]}{dt}$$
(6)

156 
$$\frac{dM^{X}[t]}{dt} = m \, \alpha^{X} (C[t])^{e_{2}} (X_{exp}[t])^{e_{3}}$$
(7)

157 
$$\frac{dX_{exp}[t]}{dt} = -\gamma^{X} (X_{ng}[t])^{e_4} (M^{X}[t])^{e_5}$$
(8)

158 
$$\frac{dX_{ng}[t]}{dt} = \gamma^{X} (X_{ng}[t])^{e_4} (M^{X}[t])^{e_5}$$
(9)

Where equation (4) is the kinetic differential equation of the reaction equation (1), in similar way equation (5) corresponds to the reaction equation (2) and equation (8) is associated to the reaction equation (3), for the transition from the growth phase to the no growth phase and equation (9) is the opposite, accounting for the transition of cells to the no growth phase. Equation (6) is the differential equation associated with the production of metabolites in the exponential phase following equation (2) which can be written as equation (7) by using equation(5).

166

### 167 **Table 1.** The full set of parameters and initial conditions

Parameter	Description	Parameter	Description
<i>e</i> <sub>1</sub>	Reaction order of $X_{ng}[t]$	$X_{ng}[0]$	Initial condition
<i>e</i> <sub>2</sub>	Reaction order of $C[t]$	<i>C</i> [0]	Initial condition
e <sub>3</sub>	Reaction order of $X_{exp}[t]$	$X_{exp}[0]$	Initial condition
<i>e</i> <sub>4</sub>	Reaction order of $X_{ng}[t]$	$M^X[0]$	Initial condition
e <sub>5</sub>	Reaction order of $M^X[t]$	$\alpha^X$	Division reaction rate
m	Stoichiometric coefficient of $M^X$	$\kappa^X$	phase transition rate
		$\gamma^X$	phase transition rate

168

169 The resultant set of equations (4-9) contains a total of 9 parameters and 4 initial condition, one

170 per each state variable, as listed in Table 1. This number can be reduced to 4 making the

- 171 following assumptions:
- i. The exponents  $e_i$  are equal to one

173	ii. The amount of nutrients is large enough to consider there is no change with time and					
174	therefore a new kinetic parameter can be defined as: $\mu^X = \alpha^X C[t]$					
175	iii. The absolute amount of metabolite given by the variable $M^{X}[t]$ is replaced by a					
176	relative amount of metabolite $N^{X}[t] = M^{X}[t] / m$ .					
177	iv. The initial population consists of bacteria in the no growth phase, only.					
178	v. Associated with assumption iv), the initial concentration of metabolite is small enoug	h				
179	to be considered negligible.					
180	With the assumption i)-v), equations 4-9 are reduced to the following system of equations and	1				
181	initial conditions:					
182	$\frac{dX_{ng}[t]}{dt} = -\kappa^X X_{ng}[t] + \gamma^X N^X[t] X_{exp}[t] $ (10)					
183	$\frac{dX_{exp}[t]}{dt} = \mu^X X_{exp}[t] + \kappa^X X_{ng}[t] - \gamma^X N^X[t] X_{exp}[t] $ (11)					
184	$\frac{dN^{X}[t]}{dt} = \mu^{X} X_{exp}[t] $ (12)					
185	$X_{ng}[0] = x_0 \tag{13}$					
186	$X_{exp}[0] = 0 \tag{14}$					
187	$N^X[0] = 0 \tag{15}$					
188	That is; 3 differential equation 10-12, including 3 parameters and 3 initial conditions 13-15, but					
189	only one (13) to be specified, as follows:					
190	$x_0$ : the initial concentration of bacteria;					
191	$\kappa^X$ : the transition rate of bacteria from the no growth to the exponential phase;					
192	$\mu^X$ : the growth rate of bacteria in the exponential phase;					
193	$\gamma^X$ : the inhibition rate for bacterial growth due to metabolites.					
194						
195						
196	2.2 Model II					
197						

198 In this model three phases are considered; lag, exponential growth and stationary. When the 199 conditions are favorable to grow the bacteria in the lag state of the no growth phase can move to 200 the growth phase in an irreversible way; that is, they cannot go back to the lag phase. However, 201 once in the growth phase and when the concentration of metabolites is high enough the bacteria 202 may go to the stationary phase. Moving to the stationary phase is irreversible and therefore they 203 stay in this phase until the end of the simulation, considering that a bacteria death phase is not 204 included in this model. Therefore, this model uses the following kinetic equations to describe 205 the transition between phase of a heterogeneous population and cell division.

206 
$$X_{lag} \xrightarrow{\kappa^X} X_{exp}$$
 (16)

$$C + X_{exp} \xrightarrow{\alpha^X} 2 X_{exp} + m M^X$$
(17)

208 
$$X_{exp} + M^X \xrightarrow{\gamma^X} X_{st} + M^X$$
(18)

209

210 Where  $X_{lag}$ ,  $X_{exp}$  and  $X_{st}$  are the concentration of cells in lag, exponential and stationary 211 phases respectively.

The set of equation for this model can be written as the following differential equations 17-24,

### 213 including the initial conditions

214 
$$\frac{dX_{lag}[t]}{dt} = -\kappa^X X_{lag}[t]$$
(17)

215 
$$\frac{dX_{exp}[t]}{dt} = \mu^{X} X_{exp}[t] + \kappa^{X} X_{lag}[t] - \gamma^{X} N^{X}[t] X_{exp}[t]$$
(18)

216 
$$\frac{dN^{X}[t]}{dt} = \mu^{X} X_{exp}[t]$$
(19)

217 
$$\frac{dX_{st}[t]}{dt} = \gamma^X N^X[t] X_{exp}[t]$$
(20)

218 
$$X_{lag}[0] = x_0$$
 (21)

219 
$$X_{exp}[0] = 0$$
 (22)

220 
$$N^X[0] = 0$$
 (23)

221 
$$X_{st}[0] = 0$$
 (24)

222	It is noted that each set of equations 10-15 and 17-24 correspond to two different mode	ls for one			
223	species in specific environments and assumptions about behavior. However, they can b	e			
224	extended to two species which may be competing in different ways. Next both model				
225	approaches are extended to the growth of LAB and LM in the same broth.				
226					
227					
228	2.3 Mathematical model for LAB and LM				
229					
230	As mentioned above the growth of LAB and LM has been modeled by different author	5			
231	(Gimenez & Dalgaard, 2004; Cornu et al., 2011; Mejlholm & Dalgaard, 2015). In gene	ral, LAB			
232	and LM are assumed to grow in a same nutrient and produce their own metabolites whi	ch may			
233	inhibit the growth of the other species. However, the effect of the metabolites produced	by LAB			
234	has a stronger effect in LM than the inverse case, and therefore is the growth of LAB that				
235	inhibits the growth of LM and not the other way. Therefore it may be assumed that the				
236	metabolites of the LM has negligible effect on LAB and may be neglected in the model				
237	(Mejlholm & Dalgaard, 2015).				
238	In the case of the Model I, when applied to the growth of LAB and LM in the same bro	th, and			
239	neglecting the production and effect of metabolites produced by LM on LAB. The rulin	ıg			
240	equations for both models can be written as follows, including the initial conditions:				
241					
242					
243	2.3.1 Model I				
244					
245	$\frac{dLAB_{ng}[t]}{dt} = -\kappa^{LAB}LAB_{ng}[t] + \gamma^{LAB} N^{LAB}[t] LAB_{exp}[t]$	(25)			
246	$\frac{dLAB_{exp}[t]}{dt} = \mu^{LAB} LAB_{exp}[t] + \kappa^{LAB} LAB_{ng}[t] - \gamma^{LAB} N^{LAB}[t] LAB_{exp}[t]$	(26)			
247	$\frac{dN^{LAB}[t]}{dt} = \mu^{LAB} LAB_{exp}[t]$	(27)			

248 
$$\frac{dLM_{ng}[t]}{dt} = -\kappa^{LM} LM_{ng}[t] + \gamma^{LM} N^{LAB}[t] LM_{exp}[t]$$
(28)

249 
$$\frac{dLM_{exp}[t]}{dt} = \mu^{LM} LM_{exp}[t] + \kappa^{LM} LM_{ng}[t] - \gamma^{LM} N^{LAB}[t] LM_{exp}[t]$$
(29)

## 251 Initial conditions

$$LAB_{ng}[0] = LAB[0] \tag{30}$$

254 
$$LAB_{exp}[0] = 0$$
 (31)

255 
$$N^{LAB}[0] = 0$$
 (32)

256 
$$LM_{ng}[0] = LM[0]$$
 (33)

257 
$$LM_{exp}[0] = 0$$
 (34)

## 260 2.3.2 Model II

262 
$$\frac{dLAB_{lag}[t]}{dt} = -\kappa^{LAB} LAB_{lag}[t]$$
(35)

263 
$$\frac{dLAB_{exp}[t]}{dt} = \mu^{LAB} LAB_{exp}[t] + \kappa^{LAB} LAB_{lag}[t] - \gamma^{LAB} N^{LAB}[t] LAB_{exp}[t]$$
(36)

264 
$$\frac{dN^{LAB}[t]}{dt} = \mu^{LAB} LAB_{exp}[t]$$
(37)

265 
$$\frac{dLAB_{st}[t]}{dt} = \gamma^{LAB} N^{LAB}[t] LAB_{exp}[t]$$
(38)

266 
$$\frac{dLM_{lag}[t]}{dt} = -\kappa^{LM}LM_{lag}[t]$$
(39)

267 
$$\frac{dLM_{exp}[t]}{dt} = \mu^{LM}LM_{exp}[t] + \kappa^{LM}LM_{lag}[t] - \gamma^{LM}N^{LAB}[t]LM_{exp}[t]$$
(40)

268 
$$\frac{dLM_{st}[t]}{dt} = \gamma^{LM} N^{LAB}[t] LM_{exp}[t]$$
(41)

270 Initial conditions

$$LAB_{lag}[0] = LAB[0] \tag{42}$$

273	$LAB_{exp}[0] = 0$	(43)		
274	$N^{LAB}[0] = 0$	(44)		
275	$LAB_{st}[0] = 0$	(45)		
276	$LM_{lag}[0] = LM[0]$	(46)		
277	$LM_{exp}[0] = 0$	(47)		
278	$LM_{st}[0] = 0$	(48)		
279				
280				
281	2.4 Methods of solution			
282				
283	Each set of equation 25-34 and 35-48 are systems of first order nonlinear ordinary differ	ential		
284	equations which are solved applying numerical methods of solutions using the Wolfram			
285	Mathematical 9.0 software package. For each species of microorganisms there are four			
286	parameters which must be determined as follows: $LAB[0]$ , $\mu^{LAB}$ , $\kappa^{LAB}$ , $\gamma^{LAB}$ , $LM[0]$ ,			
287	$\mu^{LM}, \kappa^{LM}, \gamma^{LM}.$			
288	In order to determine the 8 parameters it is used a genetic algorithm of the type NSGA 2 (Deb et			
289	al. 2002), which minimizes simultaneously the square errors (SE) between the experimental and			
290	calculated values of the growth curves, as described in previous reports. (Pedrozo et al.,	2015a;		
291	Pedrozo et al., 2015b).			
292				
293				
294	3. Results			
295				
296	3.1 The genetic algorithm			
297				
298	In order to compare the results between models I and II the results of each model were b	est		
299	fitted with the experimental data of specific population evolution of the interacting LAB			

	300 (	Tas5612,	Tas5610)	) and LM s	pecies (	(Tas5611,	Tas5609)	) obtained	from	Combas
--	-------	----------	----------	------------	----------	-----------	----------	------------	------	--------

301 (www.combase.cc) and produced in the Tasmanian Institute of Agriculture (Australia).

		Mod	lel I	Model II		
Parameters	Units	Figure 1a	Figure 1b	Figure 2a	Figure 2b	
$\mu^{LAB}$	(h <sup>-1</sup> )	0.1441	0.1597	0.1326	0.1660	
$\kappa^{LAB}$	$Log(h^{-1})$	-0.9422	-2.2967	-0.7035	-2.4522	
$\gamma^{LAB}$	$Log(mL CFU^{-1} h^{-1})$	-8.5445	-7.6581	-9.5161	-7.8135	
LAB <sub>0</sub>	Log(CFU/mL)	3.19	3.05	5.9148	3.15	
$\mu^{LM}$	$(h^{-1})$	0.0940	0.1023	0.0956	0.0879	
$\kappa^{LM}$	$Log(h^{-1})$	-1.7481	-2.7619	-1.7141	-2.4997	
$\gamma^{LM}$	$Log(mL CFU^{-1} h^{-1})$	-8.8571	-8.2124	-8.9889	-8.5011	
LM <sub>0</sub>	Log(CFU/mL)	2.84	2.96	6.3815	2.78	

### **Table 2**. Results of fit for model I and model II

304

305

### 306 3.1.1 Results of Model I

307

308 The results of the model I and the referred experimental data for the concentrations of LAB and 309 LM are shown in Figure 2. The parameters in each case were determined using the genetic 310 algorithm described above. In Figures 2a and 2b, it can be clearly distinguished the no growth 311 and growth phases and the smooth transitions obtained with the model. These results using two 312 different experimental results are a test, in particular of the assumptions with respect to both; 313 the correctness of the simplification made on the differential equations and on the other hand, 314 the good performance of the inhibition term for the growth of LM, as proportional to the concentration of metabolites produces by the LAB. It is noted the low quadratic errors for the 315

LM of around 0.25 for the first case in Figure 2a and 0.26 for the second case in Figure 3a, andalso for the case of LAB of 0.22 in Figures 1a and 1.27 Figures 2a.



320

Figure 2. Growth curves fitted with model I. a) LAB Tas5612 and LM Tas5611, b) LAB
Tas5610 and LM Tas5609

324 If instead of the total concentration of bacteria in each phase; the concentrations of the no 325 growth and growth phase are considered, the evolution is as follows. In the case of lactic acid 326 bacteria the concentration in the no growth and growth phases are as shown in Figure 3a 327 (Tas5612) and 3b (Tas5610) for each set of experimental data considered. In Figure 3a the 328 concentration of LAB in the no growth phase decreases during the first 30 hours and after this it 329 increases with a high slope up to the 90 hours and then it slowly grows until the end of the 330 simulation time at 200 hours. The initial lag time is relatively short and is the results of the relatively large value of  $\kappa^{LAB}$  responsible of the initial growth step. At about 30 h the 331 332 exponential growth of the cells in the no growth phase would be associated to the increasing 333 concentration of metabolites inhibiting their growth which decreases after 90 hours. 334



336 Figure 3: Dynamic behavior of no growth and growth phases predicted with model I. a) LAB Tas5612, b) LAB Tas5610. 337

356

In the case of the LAB in the growth phase, in the Figure 3a it is observed that the growth rate is 339

340 very high up to a time of 80 h where the concentration achieves a maximum value near  $10^8$ 

CFU/ml and then there is a sharp but small change of slope to a negative value for the 341

remaining time of the simulation period in which the concentration decreases to  $10^{7.5}$  CFU/mL 342

343 in the next 140 h.

344 It is noted that in the exponential growth phase the cell concentration does not tend to zero but 345 tends to a high constant value. This pseudo asymptotic behavior is attributed to the effect of: i) 346 the kinetic equation (1) which produce a constant rate of transfer of bacteria from the no growth 347 to the growth phase; ii) the kinetic equation (3) which provide a constant rate of production of 348 cells in the no growth phase due to the increase in the concentration of metabolites and iii) the 349 absence of a kinetic of death of bacteria.

350 On the other hand, the evolution of lactic acid bacteria in the no growth and growth phases for 351 the conditions corresponding to Figure 2b for the second set of experimental values are as 352 follows. The lactic acid bacteria concentration in the no growth phase smoothly decreases up to 353 45 h and then start to grow exponentially for the next 35 h and after this the concentration 354 remains practically unchanged showing a strong inhibition effect. It is observed an initial slow 355 decrease comparing to the evolution of Figure 3a where there is a strong initial decrease. This difference is associated to a smaller value of  $\kappa^{LAB}$  in the results shown in Figure 2b, with

357	respect to the $\kappa^{LAB}$ value listed in Table 2, in the growth conditions resulting in Figure 2a. The
358	exponential change of this phase near 45 h is due to the considerably increase of the
359	concentration of metabolites, producing a high rate transition from growth to no growth phase
360	(eq. 9).
361	Comparing now the evolution of bacteria in the growth phase in Figure 3b with respect to that in
362	Figure 3a, it is observed that the evolutions are similar up to the time of 80 h when both reach
363	maximum values, however in Figure 3b after the maximum is reached it starts to decrease with
364	a larger rate or slope and then at about 100 h stabilizes at a constant values of about $10^{6.5}$
365	CFU/ml. This could also be attributed to a smaller value of the rate $\kappa^{LAB}$ .
366	
367	
368	3.1.2 Results of Model II
369	
369 370	The results of model II applied to the same two experimental growth results used for Model I; a)
369 370 371	The results of model II applied to the same two experimental growth results used for Model I; a) LAB Tas5612 and LM Tas5611 and b) LAB Tas5610 and LM Tas5609, are shown in Figure 4a
369 370 371 372	The results of model II applied to the same two experimental growth results used for Model I; a) LAB Tas5612 and LM Tas5611 and b) LAB Tas5610 and LM Tas5609, are shown in Figure 4a and 4b. In Figure 3a the best fit show quadratic errors of 0.4467 for LAB and 0.3041 for LM.
369 370 371 372 373	The results of model II applied to the same two experimental growth results used for Model I; a) LAB Tas5612 and LM Tas5611 and b) LAB Tas5610 and LM Tas5609, are shown in Figure 4a and 4b. In Figure 3a the best fit show quadratic errors of 0.4467 for LAB and 0.3041 for LM. The slight larger errors could be associated to the less smooth transition from the exponential to
369 370 371 372 373 374	The results of model II applied to the same two experimental growth results used for Model I; a) LAB Tas5612 and LM Tas5611 and b) LAB Tas5610 and LM Tas5609, are shown in Figure 4a and 4b. In Figure 3a the best fit show quadratic errors of 0.4467 for LAB and 0.3041 for LM. The slight larger errors could be associated to the less smooth transition from the exponential to the stationary phase as compared to the case of Model I. The results in Figure 4b show a larger
369 370 371 372 373 374 375	The results of model II applied to the same two experimental growth results used for Model I; a) LAB Tas5612 and LM Tas5611 and b) LAB Tas5610 and LM Tas5609, are shown in Figure 4a and 4b. In Figure 3a the best fit show quadratic errors of 0.4467 for LAB and 0.3041 for LM. The slight larger errors could be associated to the less smooth transition from the exponential to the stationary phase as compared to the case of Model I. The results in Figure 4b show a larger quadratic error for the case of the LAB of 1.3799 as in the case of Model I which is associated
369 370 371 372 373 374 375 376	The results of model II applied to the same two experimental growth results used for Model I; a) LAB Tas5612 and LM Tas5611 and b) LAB Tas5610 and LM Tas5609, are shown in Figure 4a and 4b. In Figure 3a the best fit show quadratic errors of 0.4467 for LAB and 0.3041 for LM. The slight larger errors could be associated to the less smooth transition from the exponential to the stationary phase as compared to the case of Model I. The results in Figure 4b show a larger quadratic error for the case of the LAB of 1.3799 as in the case of Model I which is associated to the large noise in the experimental data as can be seen in Figure 4b. For the case of the LM
369 370 371 372 373 374 375 376 377	The results of model II applied to the same two experimental growth results used for Model I; a) LAB Tas5612 and LM Tas5611 and b) LAB Tas5610 and LM Tas5609, are shown in Figure 4a and 4b. In Figure 3a the best fit show quadratic errors of 0.4467 for LAB and 0.3041 for LM. The slight larger errors could be associated to the less smooth transition from the exponential to the stationary phase as compared to the case of Model I. The results in Figure 4b show a larger quadratic error for the case of the LAB of 1.3799 as in the case of Model I which is associated to the large noise in the experimental data as can be seen in Figure 4b. For the case of the LM there is a better fitting with a small quadratic error of 0.2792.



Figure 4: Growth curves fitted with model II. a) LAB Tas5612 and LM Tas5611, b) LAB
Tas5610 and LM Tas5609

When the concentration of lactic bacteria in each phase is considered, the results are as observedin Figure 5a and 5b.

385 In this model there are three phases, lag, exponential and stationary. In the first case of 386 Figure 5a it is observed that the BAL sharply decreases in 40 h to a negligible value. The 387 different behavior respect to Model I is the existence of two phases to describe the no growth 388 behavior in addition to the exponential phase to which the bacteria from the lag phase can go 389 depleting the concentration of the bacteria in the lag phase in 40 h. The cells in the exponential phase start to grow from the beginning at a high rate from about  $10^{2.5}$  to  $10^8$  CFU/mL in about 390 391 100 h reaching the maximum concentration and sharply decreasing to the initial concentration in 392 the following next period of time of 100 h. This behavior could be closer to the real situation 393 considering that cells in the exponential phase should disappear at long time. The cell in the 394 stationary phase starts to appear soon in the calculations after 40 h of modeling time. Then the 395 concentration increases at a high exponential rate in the following 60 h and then the rate slows 396 down sharply to a negligible grow rate that remains to the end of the simulation. The evolution 397 of the concentration in lag and exponential phases indicate that for longer periods of time all the 398 cells will be in the stationary phase.

399 The results for running conditions b are shown in Figure 5b. In the first case, the lag phase400 shows a very slow growth rate in agreement with the long growing time in the lag phase

- 401 observed in Figure 4b, consistent with the small value of the rate parameter  $\kappa^{LAB}$ . The behavior
- 402 of the exponential phase is similar to that observed in Figure 5a, showing a sharp increase to a
- 403 maximum at 85 h and then a symmetric decrease to the initial concentration taking
- 404 approximately 85 h each step, instead of 100 h as in the first case of Figure 5a. The same pattern
- 405 in the evolution in both running cases is consistent with what is expected of a decrease in the
- 406 growth due to the constant production of metabolites.
- 407 The evolution of the cells in the stationary phase is similar to that shown in Figure 5a, with cells
- starting to appear after 40 h of model running time, growing exponentially in the following 35 h

and decreasing the growth rate to a negligible value due to the depletion of cells in the

- 410 exponential phase. After 100 h, the cells in the stationary phase remains constant to the end of
- 411 the simulation.
- 412



Figure 5: Dynamic behavior of lag, exponential and stationary phases. a) LAB Tas5612, b) LAB
Tas5610

- 416
- 417

#### 418 **3.2** Comparison of errors among models

419

In order to determine the fitting capacity of the different models whose results were presented
and analyzed in the previous section, the square correlation coefficient between the
experimental data and the different curves obtained with each model were calculated (Table 3).

In addition, these values of square correlation coefficient are compared with model results reported in the literature (Baranyi and Roberts, 1994) for the same experimental data. From the values of errors in Table 3 it is observed that model II and the Baranyi and Roberts model fit the experimental data with similar quality. Moreover, the best fitting is given by model I with the lowest errors. It is noted that the numerical effort and mathematical complexity results low and similar for these three models since they use only 4 parameters.

429 From the biological point of view and considering that in Model I the concentration of cells in 430 the exponential phase cannot be zero at any time, this model predicts a constant growth which 431 rate decreases with time. On the other hand, Model II predicts a cell growth in the exponential phase reaching a maximum population and then decreasing monotonically, at the same time all 432 433 the cells go to the stationary phase which may be attributed to the resulting high concentration 434 of metabolites. Therefore, from this analysis, it may be concluded that comparing the Models I 435 and II, the first produce a better fitting however Model II produce results which have a 436 biological interpretation closer to what it may be expected due to the interaction between the 437 LAB and LM.

438

441

442

443

**Table 3**. Square correlation coefficient  $(R^2)$  for proposed models and Baranyi and Roberts

440 model

	$\mathbb{R}^2$			
Data set	Model I	Model II	Baranyi and Roberts (1994)	
LAB Tas5612	0.9958	0.9900	0.986	
LM Tas5611	0.9826	0.9779	0.970	
LAB Tas5610	0.9499	0.9468	0.912	
LM Tas5609	0.9727	0.9698	0.962	
_				

444 **4. Summary and conclusions** 

446	Two different mechanistic models which describe the growth of two interacting bacteria such as
447	BAL and LM are developed, which include two new inhibition functions based on kinetic
448	reactions among the cells coexisting in different phases at the same time. Both bacteria grow in
449	the same medium. The models were applied to data available in the literature (Tasmania
450	Institute) and the main conclusions are:
451	- In Model I the cells can be in only two phases; no growth and growth, and the transition
452	from one to other is reversible.
453	- In Model II the cells can be in three phases lag, exponential and stationary and the transition
454	from one to the other is irreversible, that is these cells which pass to the new stationary phase
455	remains there to the end of the simulation since there is no dead phase.
456	Model I fits better with the experimental results, however model II provide results with
457	biological meaning. As a result model I provide better data interpolation predictions of the data
458	and on the other hand, Model II may be more useful and reliable for extrapolation of the results.
459	Both models are easy to handle in order to introduce other kinetic reactions which may be
460	considered important for the case under study. For instance, a death kinetic of bacteria in the lag
461	or stationary phase accounting for the effect in the growth curves of the microorganisms.
462	
463	
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465	
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# Highlights

- Primary models based on bacteria mechanistic behavior and heterogeneous population
- Novel inhibition differential equation to model co-culture of Lactic acid bacteria and *Listeria monocytogenes*
- Dynamic behavior analysis of heterogeneous population
- Experimental data fit and parameter effect analysis